

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION FOR UNITED STATES PATENT

FOR

**OPTICAL SWITCH ROUTER**

Inventors:

**Bruce A. Schofield**  
15 Farwell Road  
Tyngsboro, MA 01879

**James V. Luciani**  
9 Shady Lane  
Acton, MA 01720

**Michael J. Craren**  
107 Juniper Road  
Holliston, MA 01746

Attorney Docket No.: 2204/A90

Client Reference No.: 13071BAUS02U

Attorneys:

BROMBERG & SUNSTEIN LLP  
125 Summer Street  
Boston, MA 02110  
(617) 443-9292

## OPTICAL SWITCH ROUTER

### PRIORITY

5

The present application claims priority from the following commonly-owned United States patent application, which is hereby incorporated herein by reference in its entirety:

United States Provisional Patent Application No. 60/225,594 entitled

10 **OPTICAL INTERNET PROTOCOL SWITCH ROUTER**, which was filed on August 15, 2000 in the names of Bruce A. Schofield, James V. Luciani, and Michael J. Craren.

15

### CROSS-REFERENCE TO RELATED APPLICATION(S)

The present application may be related to the following commonly-owned United States patent applications, which are hereby incorporated herein by reference in their entireties:

20 United States Patent Application No. 09/740,705 entitled **SYSTEM, DEVICE, AND METHOD FOR PRODUCING OPTICAL DATA STREAMS IN AN OPTICAL COMMUNICATION NETWORK**, which was filed on December 19, 2000 in the name of Bruce A. Schofield;

United States Patent Application No. 09/740,706 entitled **SYSTEM, DEVICE, AND METHOD FOR DROPPING AND ADDING OPTICAL DATA STREAMS IN AN OPTICAL COMMUNICATION NETWORK**, which was filed on December 19, 2000 in the name of Bruce A. Schofield; and

25 United States Patent Application No. XX/XXX,XXX entitled **SYSTEM AND DEVICE FOR PROVIDING COMMUNICATION SERVICES IN AN OPTICAL COMMUNICATION SYSTEM**, which was filed on even date herewith in the names of Bruce A. Schofield, Indermohan S. Monga, and Stephen Suryaputra.

## FIELD OF THE INVENTION

The present invention relates generally to optical networking, and more particularly to an optical switch router.

5

## BACKGROUND OF THE INVENTION

Optical communication facilities provide vast amounts of bandwidth  
10 for extremely high-speed communications. In an optical communication system, an optical fiber typically carries multiple optical data streams, with each optical data stream at a different wavelength. This is typically referred to as Wavelength Division Multiplexing (WDM).

15        Unfortunately, devices that communicate over optical communication facilities are often unable to process information and otherwise operate at the extremely high speeds of the optical communication facilities. Therefore, optical communication signals are typically converted into electronic signals for processing by the devices, and are converted back into optical signals  
20 when necessary for transmitting the signals over the optical communication facilities. Such optical-to-electronic and electronic-to-optical signal conversions add substantial delay to communication paths and also add complexity to the devices.

25

## SUMMARY OF THE INVENTION

In accordance with one aspect of the invention, an optical switch router performs both optical switching and traditional routing. The optical switch  
30 router includes optical interfaces for coupling to one or more incoming optical fibers and to one or more outgoing optical fibers, and also includes a number of traditional router ports. Individual incoming optical data streams received over the incoming optical fiber(s) can be selectively passed through to one or more of the outgoing optical fibers or "dropped" from the optical  
35 communication path for traditional routing. Routed traffic, which can be

received over the router ports or from the "dropped" optical data streams, can be forwarded over optical data streams that are "added" to the outgoing optical fiber(s). The "added" optical data streams may be added to the outgoing optical fiber(s) at any unused wavelengths, including, but not limited to, the wavelengths of the "dropped" optical data streams.

One advantage of such an optical switch router over a traditional router is that the optical switch router does not perform optical-electrical-optical conversions in order to pass an optical data stream through from an incoming optical fiber to an outgoing optical fiber. Instead, the optical data stream is passed through unchanged in optical form. This essentially eliminates any processing by the optical switch router for optical data streams that are passed through.

Another advantage of such an optical switch router over a traditional router is that the optical switch router can automatically and dynamically switch between passing through an incoming optical data stream and dropping the incoming optical data stream for local processing by the optical switch router. Thus, the optical switch router can pass through an optical data stream during one period of time and drop the optical data stream for local processing during another period of time. The optical switch router only needs to convert an optical data stream from optical form into electrical form during that period that the optical data stream is being dropped for local processing by the optical switch router.

Other aspects and advantages of the present invention will become apparent from the following disclosure.

25

#### BRIEF DESCRIPTION OF THE DRAWINGS

In the accompanying drawings:

30 FIG. 1 is a block diagram showing relevant components of an optical switch router in accordance with an embodiment of the present invention;

FIG. 2 is a block diagram showing relevant components of the switching/routing logic of the optical switch router in accordance with an embodiment of the present invention;

5 FIG. 3 is a block diagram showing relevant components of the optical switching logic of the switching/routing logic of the optical switch router in accordance with an embodiment of the present invention;

FIG. 4 is a block diagram showing an optical add/drop multiplexer that includes an optical add/drop fabric in accordance with an embodiment of the present invention;

10 FIG. 5 is a block diagram showing an optical add/drop multiplexer that include an optical drop-only fabric with external combiner in accordance with an embodiment of the present invention;

FIG. 6 is a block diagram showing relevant components of an optical receiver in accordance with an embodiment of the present invention;

15 FIG. 7 is a block diagram showing relevant components of an optical transmitter in accordance with an embodiment of the present invention;

20 FIG. 8 is a block diagram showing a digital-to-optical converter that employs a tunable (agile) laser to produce an optical data stream at a predetermined wavelength in accordance with an embodiment of the present invention;

FIG. 9 is a block diagram showing a digital-to-optical converter that employs fixed wavelength lasers and digital switching to produce an optical data stream at a predetermined wavelength in accordance with an embodiment of the present invention;

25 FIG. 10 is a block diagram showing a digital-to-optical converter that employs fixed wavelength lasers and photonic switching to produce an optical data stream at a predetermined wavelength in accordance with an embodiment of the present invention; and

30 FIG. 11 is a block diagram showing relevant components of an optical PHY card and an optical line card for use in an optical switch router in accordance with an embodiment of the present invention.

### **DETAILED DESCRIPTION OF A PREFERRED EMBODIMENT**

In an embodiment of the invention, an optical switch router performs both optical switching and traditional routing. The optical switch router includes optical interfaces for coupling to one or more incoming optical fibers and to one or more outgoing optical fibers, and also includes a number of traditional router ports. Individual incoming optical data streams received over the incoming optical fiber(s) can be selectively passed through to one or more of the outgoing optical fibers or "dropped" from the optical communication path for traditional routing. Routed traffic, which can be received over the router ports or from the "dropped" optical data streams, can be forwarded over optical data streams that are "added" to the outgoing optical fiber(s). The "added" optical data streams may be added to the outgoing optical fiber(s) at any unused wavelengths, including, but not limited to, the wavelengths of the "dropped" optical data streams.

FIG. 1 shows a representation of an optical switch router 100 in accordance with an embodiment of the present invention. Among other things, the optical switch router 100 includes one or more incoming optical interface(s) 110, switching/routing logic 120, one or more outgoing optical interface(s) 130, and a number of router ports 140. The optical switch router 100 is couplable to one or more incoming optical fibers via the incoming optical interface(s) 110 for receiving optical data streams at various wavelengths. The optical switch router 100 is also couplable to one or more outgoing optical fibers via the outgoing optical interface(s) 130 for outputting optical data streams at various wavelengths. The switching/routing logic 120 is coupled between the incoming optical interface(s) 110 and the outgoing optical interface(s) 130, and is also coupled to the router ports 140. The switching/routing logic 120 includes optical switching logic as well as traditional routing logic. For each individual incoming optical data stream received via the incoming optical interface(s) 110, the switching/routing logic 120 can be dynamically configured to either pass the incoming optical data

stream through to the outgoing optical fiber(s) via the outgoing optical interface(s) 130 or "drop" the incoming optical data stream from the optical communication path for traditional routing. The switching/routing logic 120 obtains routable information, such as Internet Protocol (IP) packets, from the 5 "dropped" optical data stream(s) as well as from the router ports 140, and forwards the routable information using traditional routing techniques. The switching/routing logic 120 can forward the routed information over the router ports 140 and/or over one or more optical data streams "added" to the outgoing optical fiber(s) via the outgoing optical interface(s) 130. The "added" 10 optical data stream(s) may be added to the outgoing optical fiber(s) at any unused wavelength(s), including, but not limited to, the wavelength(s) of the "dropped" optical data stream(s).

15 FIG. 2 shows a representation of the switching/routing logic 120 in accordance with an embodiment of the present invention. Among other things, the switching/routing logic 120 includes optical switching logic 210 and routing logic 240. The optical switching logic 210 is coupled between the incoming optical interface(s) 110 and the outgoing optical interface(s) 130. For each individual incoming optical data stream received via the incoming 20 optical interface(s) 110, the optical switching logic 210 can be dynamically configured to either pass the incoming optical data stream through to the outgoing optical fiber(s) via the outgoing optical interface(s) 130 or "drop" the incoming optical data stream to the routing logic 240 over the interface 220. The routing logic 240 obtains routable information, such as IP packets, from 25 the "dropped" optical data stream(s) received from the optical switching logic 210 over the interface 220 as well as from the router ports 140, and forwards the routable information using traditional routing techniques. The routing logic 240 can forward the routed information over the router ports 140 and/or to the optical switching logic 210 over the interface 230 for transmission by the 30 optical switching logic 210 over one or more optical data streams "added" to the outgoing optical fiber(s) via the outgoing optical interface(s) 130. The "added" optical data stream(s) may be added to the outgoing optical fiber(s) at

any unused wavelength(s), including, but not limited to, the wavelength(s) of the "dropped" optical data stream(s).

Optical switching (i.e., dropping and/or adding optical data streams) 5 can be done for any of a variety of reasons. For example, a particular optical data stream may be "dropped" for processing by the routing logic 240 in order to implement multicasting, in which case the incoming optical data stream is terminated by the optical switching logic 210 and multicast traffic is routed by the routing logic 240 according to a predetermined multicast routing 10 mechanism (e.g., PIM). The present invention is in no way limited by the reason for optical switching.

Dynamic configuration of the optical switching logic 210 can be done 15 for any of a variety of reasons. For example, the optical switching logic 210 may be dynamically configured based upon network conditions (e.g., route changes caused by node/link failures, traffic volume, congestion), cost concerns, application requirements, or other end-user requirements, to name but a few. The present invention is in no way limited by the reason for dynamic configuration of the optical switching logic 210.

Dynamic configuration of the optical switching logic 210 can be 20 accomplished using any of a variety of mechanisms. For one example, the optical switching logic 210 may be dynamically configured under control of the routing logic 240, particularly as network routes change pursuant to a 25 routing protocol, such as Routing Information Protocol (RIP) or Open Shortest Path First (OSPF). For another example, the optical switching logic 210 may be dynamically configured under control of a management agent (not shown). The present invention is in no way limited by the mechanism by which dynamic configuration of the optical switching logic 210 is accomplished.

30 In a typical embodiment of the present invention, the optical switching logic 210 includes a number of optical add/drop multiplexers (OADM). Each

OADM is typically coupled to one of the incoming optical interface(s) 110 for processing incoming optical data streams received over a single incoming optical fiber and to one of the outgoing optical interface(s) 130 for outputting optical data streams over a single outgoing optical fiber. In order to process 5 the incoming optical data streams received over the single incoming optical fiber, the OADM typically demultiplexes the incoming optical data streams from the incoming optical fiber. The OADM is configured to pass through certain of the incoming optical data streams to the outgoing optical fiber and to "drop" one or more of the incoming optical data streams from the optical 10 communication path for traditional routing. Each "dropped" optical data stream is sent to an optical receiver, which converts the optical data stream into a digital format and forwards the digital information to the routing logic 240. The optical switching logic 210 receives a number of digital data streams from the routing logic 240 for forwarding as outgoing optical data streams 15 over one or more outgoing optical fibers. Each digital data stream received from the routing logic 240 is processed by an optical transmitter, which converts the forwarded information from a digital format into an optical data stream at a predetermined wavelength and forwards the optical data stream to one of the OADMs. The OADM "adds" the optical data stream to the 20 outgoing optical fiber.

FIG. 3 shows a representation of the optical switching logic 210 in accordance with an embodiment of the present invention. For the sake of simplicity, FIG. 3 shows an embodiment of optical switching logic 210 having 25 one OADM 310, one optical receiver 340, and one optical transmitter 350. It should be noted, however, that in various alternative embodiments of the present invention, the optical switching logic 210 may (and typically does) include multiple OADMs, multiple optical receivers, and multiple optical transmitters.

30

As shown in FIG. 3, the OADM 310 is coupled to one of the incoming optical interface(s) 110 for processing incoming optical data streams received

over a single incoming optical fiber and to one of the outgoing optical interface(s) 130 for outputting optical data streams over a single outgoing optical fiber. The OADM 310 demultiplexes a number of incoming optical data streams from the incoming optical fiber. For each individual incoming 5 optical data stream, the OADM 310 can be configured to either pass the incoming optical data stream through to the outgoing optical fiber via the outgoing optical interface 130 or "drop" the incoming optical data stream to the optical receiver 340 over the interface 320. The optical receiver 340, which acts as a termination point for a "dropped" optical data stream, converts the 10 "dropped" optical data stream into a digital format and forwards the converted optical data stream to the routing logic 240 over the interface 220. The optical transmitter 350 receives forwarded information from the routing logic 240 over the interface 230. The optical transmitter 350 converts the 15 forwarded information from a digital format into an optical data stream at a predetermined wavelength and forwards the optical data stream to the OADM 310 over the interface 330. The OADM 310 "adds" the optical data stream to the outgoing optical fiber via the outgoing optical interface 130.

The OADM 310 can use any of a number of technologies for dropping 20 and adding optical data streams. A typical OADM 310 includes at least a demultiplexer for demultiplexing the incoming optical data streams from the incoming optical fiber, some means for dropping one or more optical data streams, and some means for adding one or more optical data streams. Various embodiments of an OADM 310 are described in the related 25 application entitled **SYSTEM, DEVICE, AND METHOD FOR DROPPING AND ADDING OPTICAL DATA STREAMS IN AN OPTICAL COMMUNICATION NETWORK**, which was incorporated by reference above. Two exemplary embodiments of an OADM 310 are described below with reference to FIGs. 4-5. It should be noted, however, that the present 30 invention is in no way limited by the technology for dropping and adding optical data streams by the OADM 310.

FIG. 4 shows an embodiment of an OADM 310 that includes a demultiplexer 410, an optical add/drop fabric 420, and a multiplexer 430. The demultiplexer 410 is coupled to the incoming optical interface 110 for processing incoming optical data streams received over the incoming optical fiber 110. The demultiplexer 410 demultiplexes a number of incoming optical data streams from the incoming optical fiber 110, and outputs the demultiplexed optical data streams to the optical add/drop fabric 420.

The optical add/drop fabric 420 receives optical data streams from the demultiplexer 410 and also receives any "added" optical data streams from the interface 330. For each optical data stream received from the demultiplexer 410, the optical add/drop fabric 420 can be configured to either pass the optical data stream through to the multiplexer 430 or "drop" the incoming optical data stream to the optical receiver 340 over the interface 320. The optical add/drop fabric 420 can also be configured to output the "added" optical data streams to the multiplexer 430. Due to the operation of the optical add/drop fabric 420, the "added" optical data streams are typically limited to the wavelengths of the "dropped" optical data streams.

The multiplexer 430 receives optical data streams of different wavelengths from the optical add/drop fabric 420. The multiplexer 430 combines the various optical data streams onto a single optical fiber over the outgoing optical interface 130.

In a typical embodiment of the present invention, the optical add/drop fabric 420 is a Micro Electro Mechanical System (MEMS) that includes double-sided mirrors or double mirror combinations for selectively passing, dropping, and adding optical data streams at various wavelengths. However, the present invention is in no way limited to a MEMS. Rather, the optical add/drop fabric 420 can use any of a variety of technologies, including, but not limited to, Micro Electro Mechanical System (MEMS) technology, Micro Opto Electro Mechanical System (MOEMS) technology, bubble (champagne)

technology, lithium niobate technology, liquid crystal technology, or other optical/photonic switching technology.

FIG. 5 shows an embodiment of an OADM 310 that includes a 5 demultiplexer 510, an optical drop-only fabric 520, and an external combiner 530. The demultiplexer 510 is coupled to the incoming optical interface 110 for processing incoming optical data streams received over the incoming optical fiber 110. The demultiplexer 510 demultiplexes a number of incoming optical data streams from the incoming optical fiber 110, and outputs the 10 demultiplexed optical data streams to the optical drop-only fabric 520.

The optical drop-only fabric 520 receives optical data streams from the demultiplexer 510. For each optical data stream received from the demultiplexer 510, the optical drop-only fabric 520 can be configured to either 15 pass the optical data stream through to the external combiner 530 or "drop" the incoming optical data stream to the optical receiver 340 over the interface 320.

The external combiner 530 receives optical data streams from the 20 optical drop-only fabric 520 and also receives any "added" optical data streams from the interface 330. The external combiner 530 combines the various optical data streams onto a single outgoing optical fiber over the outgoing optical interface 130. Because the "added" optical data streams are added to the optical communication path outside of the optical drop-only 25 fabric 520, the "added" optical data streams are typically not limited to the wavelengths of the "dropped" optical data streams.

In a typical embodiment of the present invention, the optical drop-only fabric 520 is a Micro Electro Mechanical System (MEMS) that includes single-sided mirrors for selectively passing and dropping optical data streams at 30 various wavelengths. However, the present invention is in no way limited to a MEMS. Rather, the optical add/drop fabric 420 can use any of a variety of

technologies, including, but not limited to, Micro Electro Mechanical System (MEMS) technology, Micro Opto Electro Mechanical System (MOEMS) technology, bubble (champagne) technology, lithium niobate technology, liquid crystal technology, or other optical/photonic switching technology.

5

With reference again to FIG. 3, the optical receiver 340 is coupled to receive a "dropped" optical data stream at a particular wavelength from the OADM 310 over the interface 320. The optical receiver 340 acts as a termination point for the "dropped" optical data stream. The optical receiver 10 340 converts the "dropped" optical data stream into a digital format and forwards the converted optical data stream to the routing logic 240 over the interface 220.

FIG. 6 shows a representation of the optical receiver 340. Among other 15 things, the optical receiver 340 includes an optical-to-digital converter 610 and a receiver ASIC (Application Specific Integrated Circuit) 620. The optical-to-digital converter 610 converts the "dropped" optical data stream into a digital data stream and forwards the digital data stream to the receiver ASIC 620 over the interface 630. The receiver ASIC includes logic for receiving the 20 digital data stream over the interface 630, processing the digital data stream, and forwarding the processed digital data stream to the routing logic 240 over the interface 220.

With reference again to FIG. 3, the optical transmitter 350 is coupled to 25 the interface 230 for receiving forwarded information from the routing logic 240. The optical transmitter 350 converts the forwarded information received from the routing logic 240 over the interface 230 from a digital format into an optical data stream at a predetermined wavelength and forwards the optical data stream to the OADM 310 over the interface 330.

30

FIG. 7 shows a representation of the optical transmitter 350. Among other things, the optical transmitter 350 includes a digital-to-optical converter

710 and a transmitter ASIC (Application Specific Integrated Circuit) 720. The transmitter ASIC 720 includes logic for processing the forwarded information received from the routing logic 240 over the interface 230 and forwarding the processed information to the digital-to-optical converter 710 over the interface 5 730. The digital-to-optical converter 710 receives the forwarded information over the interface 730, converts the forwarded information from a digital format into an optical data stream at a predetermined wavelength, and forwards the optical data stream to the OADM 310 over the interface 330.

10 The digital-to-optical converter 710 can use any of a variety of technologies for producing the optical data stream at the predetermined wavelength. A typical digital-to-optical converter 710 includes a laser for producing an optical carrier at the predetermined wavelength and an external modulator for modulating the optical carrier based upon the digital 15 information. Various embodiments for producing a optical data stream at a predetermined wavelength are described in the related application entitled **SYSTEM, DEVICE, AND METHOD FOR PRODUCING OPTICAL DATA STREAMS IN AN OPTICAL COMMUNICATION NETWORK**, which was incorporated by reference above. Three exemplary embodiments of a digital- 20 to-optical converter 710 are described below with reference to FIGs. 8-10. It should be noted, however, that the present invention is in no way limited by the way in which optical data streams are produced by the optical transmitter 350.

25 FIG. 8 shows an embodiment of a digital-to-optical converter 710 that employs a tunable (agile) laser to produce the optical data stream at the predetermined wavelength. Among other things, the digital-to-optical converter 710 includes a tunable laser 810 and an external modulator 820. The tunable laser 810 is tunable to any of a number of wavelengths, and is tuned 30 to the predetermined wavelength in order to produce an optical carrier at the predetermined wavelength. The external modulator 820 is coupled to receive the optical carrier from the tunable laser 810 and to receive the forwarded

information from the interface 730. The external modulator 820 modulates the optical carrier based upon the forwarded information in order to produce the optical data stream at the predetermined wavelength and forward the optical data stream to the OADM 310 over the interface 330.

5

FIG. 9 shows an embodiment of a digital-to-optical converter 710 that employs fixed wavelength lasers and digital switching to produce the optical data stream at the predetermined wavelength. Among other things, the digital-to-optical converter 710 includes N fixed wavelength lasers 920<sub>1</sub>-920<sub>N</sub> coupled respectively to N external modulators 930<sub>1</sub>-930<sub>N</sub>. Each laser/modulator pair is capable of producing an optical data stream at one and only one wavelength. A digital switch 910 routes the forwarded information from the interface 730 to the external modulator of the laser/modulator pair at the predetermined wavelength. The external modulator modulates the optical carrier based upon the forwarded information in order to produce the optical data stream at the predetermined wavelength and forward the optical data stream to the OADM 310 over the interface 330.

20

FIG. 10 shows an embodiment of a digital-to-optical converter 710 that employs fixed wavelength lasers and photonic switching to produce the optical data stream at the predetermined wavelength. Among other things, the digital-to-optical converter 710 includes M fixed wavelength lasers 1010<sub>1</sub>-1010<sub>M</sub> and an external modulator 1030. Each fixed wavelength laser is capable of producing an optical carrier at one and only one wavelength. A photonic switch 1020 routes the optical carrier from the fixed wavelength laser having the predetermined wavelength to the external modulator 1030. The external modulator 1030 modulates the optical carrier based upon the forwarded information received over the interface 730 in order to produce the optical data stream at the predetermined wavelength and forward the optical data stream to the OADM 310 over the interface 330.

TOP SECRET//SI//REL TO USA, FVEY, FVEY, FVEY, FVEY

In a typical embodiment of the present invention, optical switching logic is implemented as an optical line card that can be installed in a router. Multiple optical line cards may be installed in a router. Each optical line card interfaces with an optical network through an optical physical (PHY) card in the router. The optical PHY card typically includes at least incoming and outgoing optical interfaces for coupling with incoming and outgoing optical fiber(s), respectively.

FIG. 11 shows representation of an optical PHY card 1110 and an optical line card 1120 for use in an optical switch router. In this example, the optical PHY card 1110 includes, among other things, a number of incoming optical interfaces 110 and a number of outgoing optical interfaces 130 for interfacing the optical line card 1120 to an optical network. The optical line card 1120 includes, among other things, the OADM 310, the optical receiver 340, and the optical transmitter 350.

It should be noted that the optical receiver 340 and the optical transmitter 350 may be placed on the optical PHY card 1110 rather than the optical line card 1120, for example, to make room for additional components on the optical line card 1120. Also, the optical PHY card 1110 may include additional optical interfaces for interfacing to other optical PHY cards and to other optical line cards within the router.

In various embodiments of the invention, the configuration of components on the optical PHY card 1110 and the optical line card 1120 depend on a number of factors including, but not limited to, the size of cards, the type of components (e.g., fixed wavelength lasers versus tunable lasers, digital switches versus photonic switches), the size of the components, the number of fibers supported, the number of optical data streams per fiber, the optical line rate (i.e., the data rate of each optical data stream), the frequency spacing of optical data streams on each fiber, the number of optical data

streams that can be dropped and added, and the cost of the components, to name but a few.

Generally speaking, there is a trade-off between performance, cost, and  
5 component size. The cost and size of many of the components generally  
increases with increased wavelength density (i.e., number of optical data  
streams on an optical fiber), increased optical line rates, and decreased  
wavelength spacing (i.e., spacing between optical data streams on an optical  
fiber). Likewise, wavelength agility (i.e., the ability to drop and/or add  
10 optical data streams at varying wavelengths rather than at fixed,  
predetermined wavelengths) generally increases the component costs.

Although the present invention is not limited to any particular  
wavelength density, optical line rate, or wavelength spacing, a convenient  
15 and cost-effective optical line card implementation supports two incoming  
optical fibers and two outgoing optical fibers, each having eight 10 Gb/s  
optical data streams spaced at 100 GHz. The number of optical data streams  
that can be dropped by the optical switching logic is typically limited to a  
maximum of two or four.

20 Supporting two incoming optical fibers with a wavelength density of  
eight optical data streams per optical fiber typically provides for a less  
expensive implementation compared to, for example, a single incoming  
optical fiber having sixteen optical data streams. This is true even though two  
25 OADMs are needed, one for each incoming optical fiber, since, generally  
speaking, doubling the wavelength density (e.g., from eight to sixteen  
wavelengths on an optical fiber) typically triples the cost for components such  
as the optical demultiplexer/multiplexer. There is a small space premium to  
pay to support two optical fibers of eight wavelengths each rather than a  
30 single fiber with sixteen wavelengths. A typical sixteen wavelength  
multiplexer/demultiplexer is approximately 4" x 5", while a typical eight

wavelength multiplexer/demultiplexer is approximately 3"x5". In addition, both need room for fiber pigtails to be curled up.

The 10 Gb/s line rate is convenient due to accelerating interest in 10  
5 GbE (Gigabit Ethernet). However, other optical line rates (such as a common  
line rate of 2.5 Gb/s) can also be supported.

The 100 GHz wavelength spacing typically provides for a less  
expensive implementation compared to, for example, a wavelength spacing of  
10 50 GHz. The tighter wavelength spacing of 50 GHz is possible but more  
expensive, since optical mux/demux functions typically increase by a factor  
of 3 to 4 at 50 Ghz spacing over 100 Ghz spacing while only doubling the  
capacity of the optical fiber. Additionally, the cost of lasers would increase to  
meet the tighter wavelength stability requirements of 50 Ghz spacing. So by  
15 using 100 Ghz spacing and 10 Gb/s per channel, the aggregate bit rate is  
increase by a factor of 4 over 2.5 Gb/s while maintaining the lower cost  
wavelength spacing.

Four exemplary embodiments of the present invention are discussed  
20 below. The four exemplary embodiments are all based upon an  
implementation using two incoming optical fibers with eight 10 Gb/s optical  
data streams on each optical fiber spaced at 100 GHz, with an additional fiber  
input that is used in the event of a network/fiber fault on one of the primary  
fibers. The optical links are presumed to be short haul links. The optical  
25 components are presumed to be "pigtail" devices, and the space allowed for  
these pigtails is equal to the package size (which is an appropriate  
approximation for the smaller devices, but is an overly conservative  
approximation for the larger devices such as the optical mux/demux).  
Estimates of the hardware components, cost, and space requirements  
30 (excluding control and interface circuitry) are given for each of the four  
exemplary embodiments. The cost estimates are based upon the following  
component cost estimates:

	1x8 Optical Demux	\$8,000
	8x1 Optical Mux	\$8,000
	2x2 Optical Switch	\$ 300
5	Fixed Laser + Modulator	\$4,000
	Agile Laser + Modulator	\$8,000
	Optical Receiver	\$1,000

An agile (tunable) laser is presumed to be tunable within one second.

10 Fast tuning typically costs substantially more, and it's added benefit is unclear.

The estimated cost for an optical receiver increases to \$2,000 if long haul links are supported.

15 In a first exemplary embodiment, two optical data streams can be dropped at the optical line card, one from each incoming fiber. The dropped wavelengths are fixed in that they can only be changed by manually disconnecting and reconnecting fibers outside of the demultiplexer and multiplexer. The component, cost, and space estimates for this embodiment are as follows:

(2) 1x8 Optical Demux	\$16,000	(2) 3"x5" + pigtailed 60 sq in
(2) 8x1 Optical Mux	\$16,000	(2) 3"x5" + pigtailed 60 sq in
25 (2) 2x2 Optical Switch	\$ 600	(2) 1"x2" + pigtailed 8 sq in
(2) ITU Lasers + Modulator	\$ 8,000	(2) 2"x2" + pigtail 16 sq in
(2) Optical Receiver	\$ 2,000	(2) 2"x2" + pigtail 16 sq in
TOTAL:		160 sq in

30 In a second exemplary embodiment, two optical data streams can be dropped at the optical line card, one from each incoming optical fiber. The

dropped wavelengths are tunable, for example, under software control. The component, cost, and space estimates for this embodiment are as follows:

	(2) 1x8 Optical Demux	\$16,000	(2) 3"x5" + pigtails 60 sq in
5	(2) 8x1 Optical Mux	\$16,000	(2) 3"x5" + pigtails 60 sq in
	(2) 2x2 Optical Switch	\$ 600	(2) 1"x2" + pigtails 8 sq in
	(16) 2x2 Optical Switch	\$ 4,800	(16) 1"x2" + pigtails 64 sq in
	(2) 1x2 Optical coupler	\$ 400	(2) 1"x2" + pigtails 8 sq in
	(2) Agile Lasers + Modulator	\$16,000	(2) 2"x2" + pigtail 16 sq in
10	(1) Laser Control Circuit	\$ 200	(1) 2"x3" 6 sq in
	(2) Optical Receiver	\$ 2,000	(2) 2"x2" + pigtail 16 sq in
	(8) 8x1 Optical Switch	\$ 2,000	(2) 4"x4" + pigtails 32 sq in
15	TOTAL:	\$58,000	270 sq in

15 In a third exemplary embodiment, a maximum of four optical data streams can be dropped at the optical line card from either or both of the incoming optical fibers (e.g., three dropped from one fiber, one dropped from the other fiber). The dropped wavelengths are fixed in that they can only be 20 changed by manually disconnecting and reconnecting fibers outside of the demultiplexer and multiplexer. The component, cost, and space estimates for this embodiment are as follows::

	(2) 1x8 Optical Demux	\$16,000	(2) 3"x5" + pigtails 60 sq in
25	(2) 8x1 Optical Mux	\$16,000	(2) 3"x5" + pigtails 60 sq in
	(2) 2x2 Optical Switch	\$ 600	(2) 1"x2" + pigtails 8 sq in
	(4) ITU Lasers + Modulator	\$16,000	(2) 2"x2" + pigtail 32 sq in
	(4) Optical Receiver	\$ 4,000	(2) 2"x2" + pigtail 32 sq in
30	TOTAL:	\$52,600	192 sq in

In a fourth exemplary embodiment, four optical data streams can be dropped at the optical line card, two from each incoming optical fiber. The dropped wavelengths are tunable, for example, under software control. The component, cost, and space estimates for this embodiment are as follows:

5

	(2) 1x8 Optical Demux	\$16,000	(2) 3" x 5" + pigtailed 60 sq in
	(2) 8x1 Optical Mux	\$16,000	(2) 3" x 5" + pigtailed 60 sq in
	(2) 2x2 Optical Switch	\$ 600	(2) 1" x 2" + pigtailed 8 sq in
	(16) 2x2 Optical Switch	\$ 4,800	(16) 1" x 2" + pigtailed 64 sq in
10	(1) 1x2 Optical coupler	\$ 200	(1) 1" x 2" + pigtailed 4 sq in
	(1) 1x4 Optical coupler	\$ 200	(1) 1" x 2" + pigtailed 4 sq in
	(4) Agile Lasers + Modulator	\$32,000	(2) 2" x 2" + pigtail 32 sq in
	(4) Laser Control Circuit	\$ 200	(2) 2" x 3" 12 sq in
	(4) Optical Receiver	\$ 4,000	(2) 2" x 2" + pigtail 32 sq in
15	(4) 8x1 Optical Switch	\$ 4,000	(4) 4" x 4" + pigtail 64 sq in
	 TOTAL:	 \$74,000	 340 sq in

20 Based upon these estimates, the increase in cost and size for the optical portion of the line card is not substantial between adding/dropping two wavelengths versus add/dropping four wavelengths. Also, the increase in cost to use agile lasers is not great, but the increase in board space is significant. However, all four exemplary embodiments should be capable of fitting on a single optical line card. Therefore, whether a particular  
25 implementation supports a two dropped/added wavelengths or four dropped/added wavelengths and whether the dropped/added wavelengths are fixed or agile depends to a large degree on any additional circuitry/functions that are included on the optical line card. For example, if the termination circuitry for the 10 Gb/s data streams is included on the  
30 optical line card, then there will likely only be enough room for components supporting two dropped/added wavelengths. On the other hand, if the termination circuitry is placed elsewhere (for example, on another line card),

then there should be enough room for components supporting four agile dropped/added wavelengths.

It should be noted that these component cost and size estimates are  
5 used for comparison purposes only. Actual component costs and sizes may  
be different, and may change. Historically, the costs and sizes of  
communication system components tend to decrease as the technology curve  
advances. Such decreases in cost and size may enable certain component  
configurations to fit on a single optical line card and/or be implemented in a  
10 more cost-effective manner. Thus, for example, with lower component costs  
and sizes, it may be possible to support more optical fibers, higher line rates,  
closer wavelength spacing, more dropped/added wavelengths, and/or  
additional circuitry/functions. Thus, the present invention is in no way  
15 limited to any particular implementation or implementations due to  
constraints imposed by component costs or sizes.

It should be noted that, although the exemplary embodiments of the  
optical switching logic 210 described above include an optical add/drop  
multiplexer 310 that performs both "drop" and "add" functions, the present  
20 invention is in no way limited to an optical add/drop multiplexer that  
performs both "drop" and "add" functions. The optical switching logic 210  
may perform a "drop" only function, an "add" only function, or both "drop"  
and "add" functions. Thus, various embodiments of the present invention  
may provide for dropping incoming optical data streams without adding new  
25 optical data streams to the outgoing fiber (i.e., "drop" only function), adding  
new optical data streams to the outgoing fiber without dropping optical data  
streams from the incoming optical fiber ("add" only function), or dropping  
and adding optical data streams.

30 It should be noted that the present invention is not limited to any  
particular routing mechanism by which the routing logic 240 routes  
information. In a typical embodiment of the present invention, the routed

information consists of IP packets that are routed based upon some routing protocol, such as RIP or OSPF. However, the present invention is not limited to these or to any other protocol(s).

5 It should be noted that the terms "multiplexer" and "combiner" are used herein for discussing two different embodiments of an optical add/drop multiplexer. Within the context of the present invention, a multiplexer is a combiner, and a combiner is a multiplexer, as both aggregate multiple optical data streams onto a single outgoing optical fiber. The present invention is in  
10 no way limited by the use of these terms.

It should be noted that terms such as "switch" and "router" are used herein to describe communication devices and communication functions that may be used in a communication system, and should not be construed to limit  
15 the present invention to any particular communication device type. Thus, a communication device may include, without limitation, a bridge, router, bridge-router (brouter), switch, node, or other communication device.

20 The present invention may be embodied in many different forms, including, but in no way limited to, computer program logic for use with a processor (e.g., a microprocessor, microcontroller, digital signal processor, or general purpose computer), programmable logic for use with a programmable logic device (e.g., a Field Programmable Gate Array (FPGA) or other PLD), discrete components, integrated circuitry (e.g., an Application  
25 Specific Integrated Circuit (ASIC)), or any other means including any combination thereof.

Computer program logic implementing all or part of the functionality previously described herein may be embodied in various forms, including,  
30 but in no way limited to, a source code form, a computer executable form, and various intermediate forms (e.g., forms generated by an assembler, compiler, linker, or locator). Source code may include a series of computer program

instructions implemented in any of various programming languages (e.g., an object code, an assembly language, or a high-level language such as Fortran, C, C++, JAVA, or HTML) for use with various operating systems or operating environments. The source code may define and use various data structures

5 and communication messages. The source code may be in a computer executable form (e.g., via an interpreter), or the source code may be converted (e.g., via a translator, assembler, or compiler) into a computer executable form.

The computer program may be fixed in any form (e.g., source code

10 form, computer executable form, or an intermediate form) either permanently or transitorily in a tangible storage medium, such as a semiconductor memory device (e.g., a RAM, ROM, PROM, EEPROM, or Flash-Programmable RAM), a magnetic memory device (e.g., a diskette or fixed disk), an optical memory device (e.g., a CD-ROM), a PC card (e.g., PCMCIA card), or other memory device. The computer program may be fixed in any form in a signal that is transmittable to a computer using any of various communication technologies, including, but in no way limited to, analog technologies, digital technologies, optical technologies, wireless technologies (e.g., Bluetooth), networking technologies, and internetworking technologies. The computer program may be distributed in any form as a removable storage medium with accompanying printed or electronic documentation (e.g., shrink wrapped software), preloaded with a computer system (e.g., on system ROM or fixed disk), or distributed from a server or electronic bulletin board over the communication system (e.g., the Internet or World Wide Web).

25

Hardware logic (including programmable logic for use with a programmable logic device) implementing all or part of the functionality previously described herein may be designed using traditional manual methods, or may be designed, captured, simulated, or documented electronically using various tools, such as Computer Aided Design (CAD), a hardware description language (e.g., VHDL or AHDL), or a PLD programming language (e.g., PALASM, ABEL, or CUPL).

Programmable logic may be fixed either permanently or transitorily in a tangible storage medium, such as a semiconductor memory device (e.g., a RAM, ROM, PROM, EEPROM, or Flash-Programmable RAM), a magnetic memory device (e.g., a diskette or fixed disk), an optical memory device (e.g., a CD-ROM), or other memory device. The programmable logic may be fixed in a signal that is transmittable to a computer using any of various communication technologies, including, but in no way limited to, analog technologies, digital technologies, optical technologies, wireless technologies (e.g., Bluetooth), networking technologies, and internetworking technologies. The programmable logic may be distributed as a removable storage medium with accompanying printed or electronic documentation (e.g., shrink wrapped software), preloaded with a computer system (e.g., on system ROM or fixed disk), or distributed from a server or electronic bulletin board over the communication system (e.g., the Internet or World Wide Web).

The present invention may be embodied in other specific forms without departing from the true scope of the invention. The described embodiments are to be considered in all respects only as illustrative and not restrictive.